

COMPANY NAME:	PRUDENTIAL GUARANTEE AND ASSURANCE, INC.		COMPANY STRUCTURE:		
	FINANCIAL YEAR END	2016		<input type="radio"/> Class 1	<input checked="" type="radio"/> Class 3
	SECTOR	INSURANCE		<input type="radio"/> Class 2	<input type="radio"/> Class 4
		Source Document/ Location of Information	Yes / No	Point	REMARKS
PART B.	Equitable Treatment of Shareholders				
B.1	Shares and voting rights				
B.1.1	Do the company's ordinary or common shares have one vote for one share?	Amended By-Laws	Y		Sec. 5 , Art. I of PGA's Amended By-Laws
B.1.2	Where the company has more than one class of shares, does the company publicise the voting rights attached to each class of shares (e.g. through the company website / reports/ the stock exchange/ the regulator's website)?		N/A		The company has only one class of shares
B.2	Notice of AGM				
B.2.1	Does each resolution in the most recent AGM deal with only one item, i.e., there is no bundling of several items into the same resolution?	Minutes of the Annual Stockholders' Meeting (ASM)	Y		Minutes of the ASM disclose that each resolution deals only with a single subject matter.
B.2.2	Are the company's notice of the most recent AGM/circulars fully translated into English and published on the same date as the local-language version?	Minutes of the Annual Stockholders' Meeting (ASM)	Y		
	Does the notice of AGM/circulars have the following details:				
B.2.3	Are the profiles of directors/commissioners (at least age, qualification, date of first appointment, experience, and directorships in other listed companies) in seeking election/re-election included?	Notice of Annual Stockholders' Meeting	Y		
B.2.4	Are the auditors seeking appointment/re-appointment clearly identified?	Notice of Annual Stockholders' Meeting	Y		
B.2.5	Has an explanation of the dividend policy been provided?		N		There has been no declaration of dividends
B.2.6	Is the amount payable for final dividends disclosed?		N/A		There has been no declaration of dividends

B.2.7	Documents required to be proxy/ Were the proxy documents made easily available?	Notice of the Annual Stockholders' Meeting / Proxy Form	Y		Proxy forms are attached to the notices sent to the shareholders
B.3	Insider trading and abusive self-dealing should be prohibited.				
B.3.1	Does the company have policies and/or rules prohibiting directors/commissioners and employees to benefit from knowledge which is not generally available to the market?	Code of Conduct	Y		
B.3.2	Are the directors and commissioners required to report their dealings in company shares within 3 business days?	-	N		
B.4	Related party transactions by directors and key executives.				
B.4.1	Are directors and commissioners required to disclose their interest in transactions and any other conflicts of interest?	Code of Conduct	Y		
B.4.2	Does the company have a policy requiring a committee of independent directors/commissioners to review material/significant RPTs to determine whether they are in the best interests of the company?		N		
B.4.3	Does the company have a policy requiring board members (directors/commissioners) to abstain from participating in the board discussion on a particular agenda when they are conflicted?		N		
B.4.4	Does the company have policies on loans to directors and commissioners either forbidding this practice or ensuring that they are being conducted at arm's length basis and at market rates.		N		
B.5	Protecting minority shareholders from abusive actions				
B.5.1	Were there any RPTs that can be classified as financial assistance to entities other than wholly-owned subsidiary companies?		N/A		The company has no subsidiaries.
B.5.2	Does the company disclose that RPTs are conducted in such a way to ensure that they are fair and at arms' length?		N		